AML/CFT Awareness Programme for Registered Investment Advisors of Market Intermediaries - July 20, 2026

The Securities and Exchange Commission of Sri Lanka (SEC), in collaboration with the Financial Intelligence Unit (FIU), conducted an AML/CFT awareness programme for the Registered Investment Advisors of Market Intermediaries on July 20, 2026, at the Foundation Institute.

The programme was attended by approximately 300 participants representing market intermediaries, including Stockbrokers, Margin Providers, Investment Managers, and Managing Companies.

The two technical sessions were conducted during the programme covering the “Overview of the National Risk Assessment (NRA) 2024/2025”, by Mrs. Theja Pathberiya, Deputy Director, FIU, and “Key Findings of the AML/CFT Evaluations and Recommended Areas for Improvement”, by Mr. Dilan Siriwardhana, Senior Assistant Director, FIU.

This awareness programme was a continuation of the AML/CFT awareness initiatives conducted by the SEC in collaboration with the FIU for stakeholders in the securities sector. The programme aimed to provide participants with updates on the NRA 2024/2025, share key observations and lessons learned from recent AML/CFT mock evaluations, and further enhance the understanding and implementation of AML/CFT obligations among Registered Investment Advisors and market intermediaries.