

Knowledge Transfer Session on Supervision by Financial Intelligence Unit (FIU) to Securities and Exchange Commission (SEC) Supervision Team - May 26, 2025 at SEC

A knowledge transfer session on supervisory practices was conducted by the FIU for the supervision team of the Securities and Exchange Commission of Sri Lanka (SEC) on May 26, 2025, at the SEC premises.



The session was aimed at sharing key supervisory methodologies, tools, and practical insights used by the FIU in monitoring Anti-Money Laundering and Countering the Financing of Terrorism (AML/CFT) compliance. It supported capacity-building efforts and enhanced coordination between the two regulatory bodies.

The session was conducted by Mrs. Theja Pathberiya, Deputy Director of the FIU, and Mrs. S. M. H. Rangika, Head of the Supervision Division, FIU. The session was focused on the risk-based supervision approach, examination procedures, and the importance of consistent enforcement across sectors.

This initiative marks a step forward in strengthening inter-agency collaboration and aligning supervisory frameworks to improve AML/CFT oversight across the financial sector.